

INTEGRATED SOLUTIONS FOR INVESTMENT OPERATIONS

INDUSTRY KNOWLEDGE

Smonik principals have over 50 years of investment operations experience.

POWER TO THE USER

User's can configure recons, setup file retrieval and transform data all in the User Interface. No development required.

MORE THAN RECON

Smonik is more than a Recon system. Use Smonik to retrieve files for any purpose and for numerous data verification processes

STANDARD CONNECTORS

Smonik works with and supports all of today's industry standard:

- Accounting systems
- Custodian Files
- Data Providers
- Prime Brokers

Open architecture to support plug-ins for other systems.

Smonik provides a unique platform of integrated back office solutions catering to the investment operations community. Our solutions are designed to improve the control environment and create operational efficiencies.

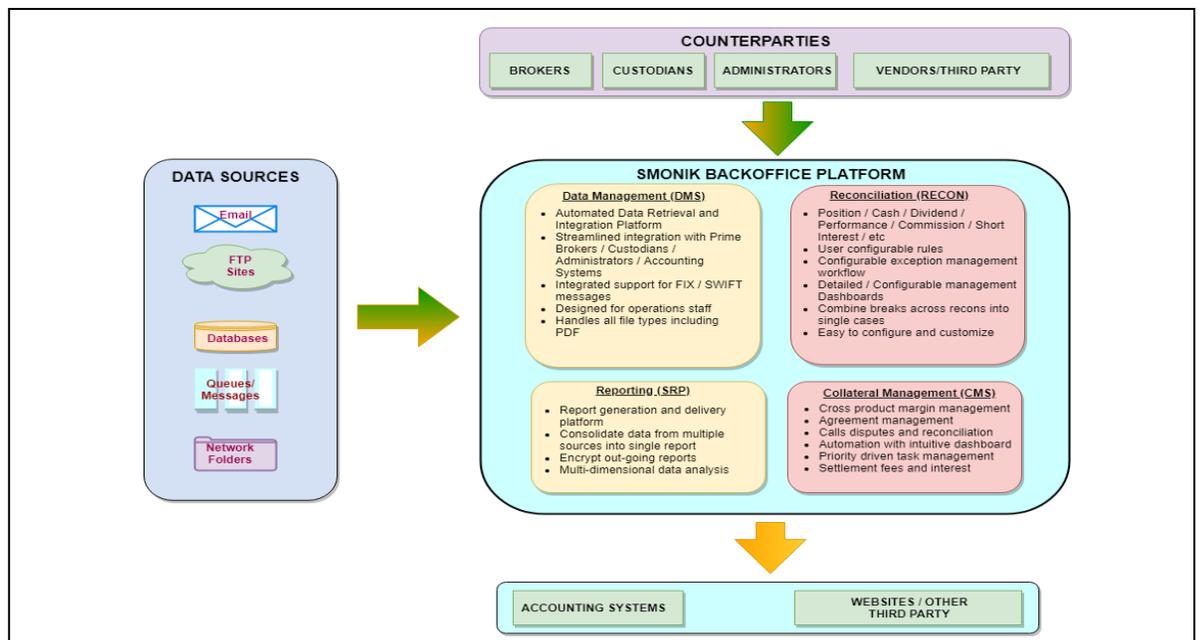
The components in our platform are all connected through an innovative common data platform. Our platform consisting of the following components:

DMS - Smonik's Data Management System (DMS) allows users to configure and automate the retrieval and transformation of data from virtually any source - such as databases, FTP sites, message queues, web services, email etc. - eliminating the need for an organization to build and maintain their own data gathering process.

Smonik ETL is a powerful, user-friendly, data processing and transformation tool. It allows non-technical users to parse and transform virtually any file, structured or unstructured, even PDFs!

RECON - Smonik Recon is a comprehensive reconciliation solution that allows firms to perform trade, position, cash, and generic, user-configurable reconciliation. Reconciliation can be run between internal systems and client, broker or other 3rd party data. Our reconciliation tool facilitates data processing in a systematic and consistent manner and eliminates reliance on error prone spreadsheets or manual data entry. With our advanced data transformation tools users can also imbed complex calculations to verify critical data such as management fees or commissions.

PORTAL - Smonik Portal consists of two components: The first is an integrated reporting solution that allows firms to post reports and documents to a white-labeled portal. It also allows firms to completely automate the distribution of data and reports through other channels, such as FTP, network, Email, and/or message queues.



Integrated Straight through processing suite to integrate all your operational data flows and reconciliations.

SECURITY & AUDIT

Advanced authorization with validation rules allowing you to track and audit all changes through our user-friendly UI.

EASY IMPLEMENTATION

When you work with Smonik you are working with a team that knows the industry and simplifies the implementation process.

PRODUCT COMMITMENT & GLOBAL REACH

For more information on any of our products or services contact us...

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SMONIK DMS (Data Management System)

- ▶ Streamline integration with counterparties, prime brokers, vendors and other third parties.
- ▶ Reduce or eliminate manual data processing and manual data entry, thereby greatly reducing the potential for human error and minimizing operational risk.
- ▶ Manage and view data retrieval and distribution using a web-based dashboard.
- ▶ Automate the retrieval and processing of data in files, email attachments, message queues, and from almost any data source.
- ▶ Simple, intuitive, web-based data mapping tool.
- ▶ Map structured and unstructured data files to internal, standard templates.
- ▶ Apply filters and transformations to data using a powerful, web-based configuration tool.
- ▶ Easily parse any file type – even PDFs.
- ▶ Automatically decrypt files – PGP and RSA supported.
- ▶ Automatically save information from any data source to a database.
- ▶ Schedule data processing tasks or run them ad hoc.
- ▶ User friendly, web-based interface – designed for operations staff.

SMONIK RECON

- ▶ Provides position, trade, cash, and generic reconciliation modules.
- ▶ Easily integrate with data sources using a simple, web-based data mapping tool.
- ▶ Configure optional fields.
- ▶ Customizable matching logic.
- ▶ Configure generic reconciliation to recon any data set. E.g. dividend or interest accruals.
- ▶ Customizable break processing workflow
- ▶ Ability to “Approve” breaks until a specified date.
- ▶ Detailed management reports.
- ▶ User friendly, web-based interface.

SMONIK SRP (Smonik Reporting Platform)

- ▶ Report generation and delivery platform.
- ▶ Secure website portal can be “white labeled” to match existing website design.
- ▶ Rapid report creation - A graphical, easy to use interface enables the rapid creation of reports
- ▶ Consolidate data from multiple sources into a single report.
- ▶ Manage and monitor report generation and distribution using a web-based dashboard.
- ▶ Deliver reports, statements, or data through a client-facing portal accessible on the internet, or use any common file delivery protocol. E.g. FTP, SFTP.
- ▶ Encrypt outgoing files with RSA or PGP encryption.
- ▶ Full audit functionality. Track who viewed what file and when.
- ▶ PDF watermarking.
- ▶ Pull back an already published report.